UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

THE NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS PENSION FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS WELFARE FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS VACATION FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS ANNUITY FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS APPRENTICESHIP, JOURNEYMAN RETRAINING, EDUCATIONAL AND INDUSTRY FUND, NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS CHARITY FUND, and THE NEW YORK CITY AND VICINITY CARPENTERS LABOR MANAGEMENT COOPERATION FUND, by MICHAEL J. FORDE, and PAUL O'BRIEN, as TRUSTEES,

07 CV 7324 (GEL) ECF CASE

AFFIDAVIT OF COUNSEL IN SUPPORT OF DEFAULT JUDGMENT

Plaintiffs,

-against-

GAZETTEN CONTRACTING, INC.,

		Defendant.	
			X
STATE OF NEW YORK)		
) ss:		
COUNTY OF NEW YORK)		

ANDREW GRABOIS, Esq. being duly sworn, deposes and says,

- 1. I am associated with the firm of O'Dwyer & Bernstien, LLP, attorneys for plaintiffs ("Funds") in the above captioned action. I am familiar with all the facts and circumstances in this action.
- 2. I submit this affidavit in support of plaintiffs' application for an order directing Gazetten Contracting, Inc. ("Defendant") to show cause why a default judgment should not be entered in favor of plaintiffs, confirming an arbitration awards against the Defendant, dated July 16, 2007.

- 3. Upon information and belief Defendant is a corporation and not an infant, in the military or an incompetent.
- 4. Subject matter jurisdiction of this action is based upon Section 301 of the Labor Management Relations Act ("LMRA"), 29 U.S.C. §185, Sections 502(a)(3)(B)(ii), (d)(1), (e) and (g) of the Employee Retirement Income Security Act ("ERISA"), 29 U.S.C. §\$1132(a)(3)(B)(ii), (d)(1), (e) and (g), Section 515 of ERISA, 29 U.S.C. §1145, and Section 9 of the Federal Arbitration Act, 9 U.S.C. §9. Personal jurisdiction is based upon Section 502(e)(2) of ERISA, 29 U.S.C. §1132(e)(2).
- 5. Plaintiffs brought this action to confirm an arbitration award seeking to collect unpaid employee benefit fund contributions and to compel production of books and records from Defendant, which were due and owing to plaintiffs pursuant to a collective bargaining agreement ("Agreement") between the Defendant and the District Council for New York City and Vicinity, United Brotherhood of Carpenters and Joiners of America.
- 6. A dispute arose during the period of the Agreement whereby Defendant failed to remit benefit fund contributions to the Funds for hours worked by employees as required under the Agreement and to produce its books and records for the purpose of conducting an audit to ensure further compliance with the Agreement.
- 7. Pursuant to the arbitration clause of the Agreement, a dispute was submitted to arbitration to Roger Maher, the duly designated impartial arbitrator. Thereafter, upon due notice to all parties, the arbitrator held a hearing and rendered his award, in writing, dated July 16, 2007, determining said dispute. Upon information and belief, a copy of the award was sent to the defendant.
 - 8. Arbitrator Maher found that Defendant was delinquent in Fringe Benefit monies

for the audit period July 1, 2002 through December 31, 2004 and was ordered Defendant to pay to the Funds \$192,624.27, plus interest at the rate of ten percent (10%) per annum from the date of the award in addition to ordering the Defendant to furnish the plaintiffs with any and all books and records for the period of January 1, 2005 through July 16, 2007, including but not limited to the cash disbursement section of the cash book, general ledger, job location records, daily time records and all certified payrolls. (A copy of the award is annexed hereto as Exhibit "A").

- 9. The defendant has failed to abide by the award.
- 10. Plaintiffs commenced this action on August 16, 2007 by filing a summons and complaint. (A copy is annexed hereto as Exhibit "B"). Plaintiffs subsequently served the summons and complaint together with the Judge's rules upon Defendant by delivering two (2) true copies of the same to the Secretary of the State of New York on August 24, 2007, pursuant to Section 306(b) of New York Business Corporation Law and an affidavit of service was filed with the Court on September 9, 2007. (A copy is annexed hereto as Exhibit "C").
- The award at issue has not been vacated or modified and no application for such 11. relief is currently pending.
- 12. Defendant has failed to answer or appear or move with respect to the complaint and the time to do so has expired. (A copy of the Clerk's Certificate is annexed hereto as Exhibit "D").
- 13. This action is timely as it was filed within the one year statute of limitations applicable to a petition to confirm an arbitrator's award.
- 14. Plaintiffs seek a default judgment and order against Defendant and in favor of Plaintiffs as follows:
 - a. confirming the arbitrator's awards in all respects:

- b. awarding judgment for the plaintiffs and against Defendant in the principal amount of \$192,624.27;
- c. interest of ten percent (10%) per annum on that amount from the date of said award, pursuant to the arbitrator's award;
- d. ordering Gazetten Contracting, Inc. and its officers to make available to the plaintiffs or authorized representatives any and all books and records deemed necessary to conduct an audit including, but not limited to, the cash disbursement section of the cash book, general ledger, job location records, daily time records and all certified payrolls for the period July 1, 2005 through July 16, 2007.
- e. attorneys' fees and costs arising out of this action as determined by the court.
 (An Affidavit of Services is annexed hereto as Exhibit "E" and a proposed
 Default Judgment is annexed hereto as Exhibit "F");
- f. such other and further relief as this Court may deem just and proper;

ANDREW GRABOIS (AG 3192)

Sworn to before me this 25th day of October, 2007

JASON FUIMAN
Notary Public, State of New York
No.02FU6104740
Qualified in New York County
Commission Expires January 26, 20